

06/13/00
JC685 U.S. PTO

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Docket No. BOC9-1999-0075/1963-7376
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JC490 U.S. PTO
09/593076
06/13/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) BARRY E. WILLNER
365 PINE ROAD
BRIARCLIFF MANOR, NEW YORK 10510
- (2) JAMES M. DUNN
10184 NO. ROSWELL AVENUE
FRESNO, CALIFORNIA 93720

☐ Additional inventors are listed on a separate sheet

For: A LASER SYSTEM AND METHOD OF OPERATION HAVING IMPROVED SIGNAL
CONTINUITY AND SAFETY

Enclosed Are:

- 11 page(s) of specification
1 page(s) of Abstract
5 page(s) of claims
2 sheets of ☐ Formal ☒ Informal drawings

6 page(s) of Declaration and Power of Attorney

- ☐ Unsigned
☒ Newly Executed
☐ Copy from prior application
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☐ **Incorporation by Reference:**

☐ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

09593076-064300

- ☐ The entire foreign priority document filed _____ in _____ for which priority under 35 U.S.C. §119 is claimed (This is only applicable for the first national stage application).
- ☐ Microfiche Computer Program (Appendix)
- ☐ page(s) of Sequence Listing
- ☐ computer readable disk containing Sequence Listing
- ☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment
- ☒ Charge the Assignment Recordation Fee to Deposit Account No. 09-0452 IBM Corporation, Order No. BOC9-1999-0075/1963-7376
- ☐ Assignment Papers filed in the parent application Serial No. _____
- ☐ Certification of chain of title pursuant to 37 C.F.R. §3.73(b)
- ☐ Priority is claimed under 37 C.F.R. §119 for:
Application No(s). _____, filed _____, in _____ (country).
- ☐ Certified Copy of Priority Document(s) [_____]
- ☐ filed herewith
- ☐ filed in application Serial No. _____, filed _____.
- ☐ English translation document(s) [_____]
- ☐ filed herewith
- ☐ filed in application Serial No. _____, filed _____.
- ☐ Priority is claimed under 37 C.F.R. §119(e) for:
Provisional Application No. _____, filed _____.
- ☐ Priority is claimed under 37 C.F.R. §120 for:
Application No(s). _____, filed _____, in _____.
- ☒ Information Disclosure Statement
- ☒ Copy of [FOUR] cited references
- ☒ PTO Form-1449
- ☐ References cited in parent application Serial No. _____, filed _____.
- ☐ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☐ This is a ☐ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. _____, filed _____.
- ☐ Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:

09-0452 IBM Corporation

- ☐ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until ____.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☐ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☐ Amend the specification by inserting before the first line the sentence:
This is a continuation of co-pending application Serial No. ____, filed ____.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$690.00/380.00
Total Claims	21- 20 =	1x	\$18.00/\$9.00	\$ 18.00
Independent Claims	4- 3 =	1x	\$78.00/\$34.00	\$ 78.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$260.00 (\$130.00)		\$ 0
TOTAL:				\$ 786.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$ ____.
- ☐ A check in the amount of \$ ____ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 09-0452 IBM CORPORATION Order No. BOC9-1999-0075/1963-7376. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

☒

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: June 13, 2000

By:

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